

# PATENT COOPERATION TREATY

Rec'd PCT/ATC 09 JAN 2003

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

## PCT

### NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

To:

FRANK, B., Dehn & Co.  
179 Queen Victoria Street  
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GRANDE BRETAGNE

Date of mailing  
(day/month/year)

27.07.2004

Applicant's or agent's file reference  
61.78607/001

#### IMPORTANT NOTIFICATION

International application No.  
PCT/GB 03/02942

International filing date (day/month/year)  
07.07.2003

Priority date (day/month/year)  
10.07.2002

Applicant  
NATIONAL BLOOD AUTHORITY et al.

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.
4. **REMINDER**

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

The applicant's attention is drawn to Article 33(5), which provides that the criteria of novelty, inventive step and industrial applicability described in Article 33(2) to (4) merely serve the purposes of international preliminary examination and that "any Contracting State may apply additional or different criteria for the purposes of deciding whether, in that State, the claimed inventions is patentable or not" (see also Article 27(5)). Such additional criteria may relate, for example, to exemptions from patentability, requirements for enabling disclosure, clarity and support for the claims.

Name and mailing address of the international preliminary examining authority:



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## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 61.78607/001		<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/PEA/416)
International application No. PCT/GB 03/02942	International filing date (day/month/year) 07.07.2003	Priority date (day/month/year) 10.07.2002
International Patent Classification (IPC) or both national classification and IPC C12N9/74		
Applicant NATIONAL BLOOD AUTHORITY et al.		
<p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of 6 sheets, including this cover sheet.</p> <p><input type="checkbox"/> This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of sheets.</p>		
<p>3. This report contains indications relating to the following items:</p> <p>I <input checked="" type="checkbox"/> Basis of the opinion</p> <p>II <input type="checkbox"/> Priority</p> <p>III <input type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</p> <p>IV <input type="checkbox"/> Lack of unity of invention</p> <p>V <input checked="" type="checkbox"/> Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</p> <p>VI <input type="checkbox"/> Certain documents cited</p> <p>VII <input type="checkbox"/> Certain defects in the international application</p> <p>VIII <input type="checkbox"/> Certain observations on the international application</p>		
Date of submission of the demand  28.01.2004		Date of completion of this report  27.07.2004
Name and mailing address of the international preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465		Authorized Officer  Vix, O  Telephone No. +49 89 2399-7326 

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. **PCT/GB 03/02942**

**I. Basis of the report**

1. With regard to the **elements** of the international application (*Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)*):

**Description, Pages**

1-32 as originally filed

**Claims, Numbers**

1-13 as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).  
☐ the language of publication of the international application (under Rule 48.3(b)).  
☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.  
☐ filed together with the international application in computer readable form.  
☐ furnished subsequently to this Authority in written form.  
☐ furnished subsequently to this Authority in computer readable form.  
☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.  
☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

- ☐ the description, pages:  
☐ the claims, Nos.:  
☐ the drawings, sheets:

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)).

*(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)*

6. Additional observations, if necessary:

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/GB 03/02942

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**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability;  
citations and explanations supporting such statement**

**1. Statement**

Novelty (N)	Yes: Claims	1-8
	No: Claims	9-13
Inventive step (IS)	Yes: Claims	1-8
	No: Claims	9-13
Industrial applicability (IA)	Yes: Claims	1-13
	No: Claims	

**2. Citations and explanations**

**see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/GB 03/02942

**Re Item V**

**Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

The following documents (D) are referred to in this communication; the numbering will be adhered to in the rest of the procedure:

- D1: EP-A-0 543 178 (BEHRINGWERKE AG) 26 May 1993 (1993-05-26)
- D2: EP-A-0 439 156 (WARNER LAMBERT POTTERY ROAD LI) 31 July 1991
- D3: EP-A-1 136 084 (AVENTIS BEHRING GMBH) 26 September 2001
- D4: WO 00/71153 A (BIO PROD & BIO ENG AG ;EIBL JOHANN (AT)) 30 November 2000 (2000-11-30)
- D5: EP-A-0 565 511 (IMMUNO AG) 13 October 1993 (1993-10-13)
- D6: GOLDSACK NEIL ET AL: "Molecules in focus thrombin" International. J. of Biochem and Cell Biol., vol. 30, no. 6, June 1998, pgs 641-646

Additional document:

D7: EP1161958

**1. Novelty (Art. 33(2) PCT)**

- 1.1 The application relates to a method for the preparation of virus-inactivated thrombin comprising solvent-detergent virus inactivation of a solution comprising prothrombin and factor X, loading the virus inactivated prothrombin and factor X onto an anion exchange medium, washing the medium to remove the reagents used for the solvent-detergent virus inactivation, and activating the prothrombin on the medium to form thrombin by the addition of metal ions, preferably calcium ions. The thrombin is then preferably selectively eluted from the anion exchange medium.

None of the available prior art specifically discloses this combination of steps in a method for producing a virus-inactivated thrombin. Thus, in view of the available prior art, the claimed subject-matter 1-8 appears to be new. Consequently, claims 1-8 do meet the requirements of Article 33(2) PCT.

- 1.2 The applicant's attention is drawn to the fact that product by process claims as defined in claims 9-11 and 12-13 will not be admissible in the European regional phase. Such claims are admissible only if the product (thrombin in the present case) by itself fulfil the requirements for patentability and there is no other

information available in the application which could enable the applicant to define the product satisfactorily by reference to its composition, structure or some other testable parameter. Thrombin is a well known and studied protein which can be prepared using various methods as disclosed in the prior art D1-D4. The applicant's attention is drawn to the fact that a product is not rendered novel merely by the fact that it is purified by means of a new process.

At present, all applications such as D1-D4 disclosing thrombin (and its obtention/preparation) or pharmaceutical composition/kit comprising thrombin are prejudicial for the novelty and inventivity of claims 9-13 under Art 33(2)/(3) PCT.

**2. Inventive step (Art. 33(3) PCT)**

The application relates to a method for the preparation of virus-inactivated thrombin comprising solvent-detergent virus inactivation of a solution comprising prothrombin and factor X.

The available prior art D1-D3 relate to the preparation of thrombin free of viral contaminant based on various method/process.

D1 relates to a purified thrombin preparation free from viral contamination. The method comprises treating a solution of prothrombin complex, which has been purified on an ion exchanger and subjected to virus inactivation, with a soluble salt containing an anion.

Another example is D2 which discloses a process for the production of a liquid thrombin preparation which comprises reacting each unit of prothrombin with less than 50% of the conventional thromboplastin input in the presence of calcium, contacting the resultant thrombin with a phosphate buffer, and diluting and filtering the suspension. The filtrate is then applied sequentially to an anion-exchange agarose column and a cation-exchange agarose column and the thrombin fraction is step-wise eluted from the latter column with phosphate buffered saline.

Based on the teaching of the prior art such as D1, the technical problem to be solved could be seen as the provision of an alternative method for producing a virus inactivated thrombin preparation.

As seen from D1 or D3, protein preparation can be treated using different virus inactivation processes. Other methods are known to the skilled person in the art

such as solvent-detergent virus inactivation steps. For example, D7 discloses a method for inactivating viruses in biological liquid solution which comprises contacting the biological liquid solution with solvent detergent mixture. The solvent detergent mixture at a predetermined concentration and conditions is able to inactivate lipid-coated viruses. The solvent-detergent mixture is removed by passing the liquid solution on a chromatographic packing.

Thus, in the light of D1 and D7, the present subject-matter of claims 1-8 could be seen as the combination of a known solvent-detergent technique and anion-exchange chromatography purification steps applied to thrombin. However, D1 does not suggest that activation of prothrombin complex to form thrombin could occur while bound to an anion exchange medium, nor does it suggest to combine this technique with a solvent-detergent virus inactivation treatment.

As the claimed method allows the efficient preparation of virus-safe thrombin without any addition of thrombin or thromboplastin for activation (like in D1 or D2), using solvent-detergent treated prothrombin bound to an anion exchange medium and use of metal ions to activate the prothrombin to form thrombin, said method is considered to achieve a surprising technical effect over the available prior art. Thus, the presence of an inventive step within the subject-matter of claims 1-8 is acknowledged. Consequently, said claims do meet the requirements of Article 33(3) PCT.